

# Integrated QSE Audit Criteria

Audit Criteria		ISO 9001:2008	AS 4801:2001	ISO 14001:2004
3.1 General		4.1 General requirements	4.1 General requirements	4.1 General requirements
3.2 Policy		5.1 Management commitment 5.3 Quality policy 8.5.1 Continual improvement	4.2 OHS Policy	4.2 Environmental policy
3.3 Planning	3.3.1 Planning aspects and requirements	5.2 Customer focus 7.2.1 Determination of requirements related to the product 7.2.2 Review of requirements related to the product	4.3.1 Planning identification of hazards, risk assessment and control of risks	4.3.1 Environmental aspects
	3.3.2 Legal and other requirements	5.2 Customer focus 7.2.1 Determination of requirements related to the product	4.3.2 Legal and other requirements	4.3.2 Legal and other requirements
	3.3.3 Objectives, targets, plans and programmes	5.4.1 Quality objectives 5.4.2 Quality management system planning 8.5.1 Continual improvement	4.3.3 Objectives and targets 4.3.4 OHS management plans	4.3.3 Objectives, targets and programme(s)
3.4 Implementation	3.4.1 Resources, roles, responsibility and authority	5.1 Management commitment 5.5.1 Responsibility and authority 5.5.2 Management representative 6.1 Provision of resources 6.2.1 (Human resources) General 6.3 Infrastructure	4.4.1 Structure and responsibility	4.4.1 Resources, roles, responsibility and authority
	3.4.2 Competency, training and awareness	6.2.1 (Human resources) General 6.2.2 Competency, training and awareness	4.4.2 Training and competency	4.4.2 Competency, training and awareness
	3.4.3 Consultation, communication and reporting	5.5.3 Internal communication 7.2.3 Customer communication	4.4.3 Consultation, communication and reporting	4.4.3 Communication
	3.4.4 Documentation	4.2.1 (Documentation requirements) General 4.2.2 Quality manual	4.4.4 Documentation	4.4.4 Documentation
	3.4.5 Document and data control	4.2.3 Control of documents	4.4.5 Document and data control	4.4.5 Control of documents
	3.4.6 Operational control	6.4 Work environment 7.1 Planning of product realisation 7.2.1 Determination of requirements related to the product 7.2.2 Review of requirements related to the product 7.3 Design and development 7.4.1 Purchasing process 7.4.2 Purchasing information 7.4.3 Verification of purchased product 7.5.1 Control of production and service provision 7.5.2 Validation of processes for production and service provision 7.5.3 Identification and traceability 7.5.4 Customer property 7.5.5 Preservation of product	4.4.6 Hazard identification, risk assessment and control of risks	4.4.6 Operational control
	3.4.7 Emergency preparedness and response	8.3 Control of nonconforming product	4.4.7 Emergency preparedness and response	4.4.7 Emergency preparedness and response
3.5 Checking	3.5.1 Monitoring and measurement	7.6 Control of monitoring and measuring equipment 8.1 (Measurement, analysis and improvement) General 8.2.1 Customer satisfaction 8.2.3 Monitoring and measurement of processes 8.2.4 Monitoring and measurement of product 8.4 Analysis of data	4.5.1 Monitoring and measurement	4.5.1 Monitoring and measurement 4.5.2 Evaluation of compliance
	3.5.2 Nonconformity, corrective and preventive action	8.3 Control of nonconforming product 8.4 Analysis of data 8.5.2 Corrective action 8.5.3 Preventive action	4.5.2 Incident investigation, corrective and preventive action	4.5.3 Nonconformity, corrective action and preventive action
	3.5.3 Records management	4.2.4 Control of records	4.5.3 Records and records management	4.5.4 Control of records
	3.5.4 Internal audit	8.2.2 Internal audit	4.5.4 OHSMS audit	4.5.5 Internal audit
3.6 Review		5.6.1 (Management review) General 5.6.2 Review input 5.6.3 Review output	4.6 Management review	4.6 Management review