

Integrated CCF Audit Criteria

Report Clause		CCF Code Clause - Issue 9
3.1 General		N/A*
3.2 Policy		1.1 Policy Statement
3.3 Planning	3.3.1 Planning aspects and requirements	2.1 Tenders and Quotes
	3.3.2 Legal and other requirements	8.4 External Audit 9.1 (Health & Safety Issues) General 9.3 Workers Compensation Requirements 9.4 Plant and Permit to Work Regulations 10.1 (Environmental Issues) General 10.3 Legislative Requirements 10.4 Permits and Regulations to Work
	3.3.3 Objectives, targets, plans and programmes	N/A*
3.4 Implementation	3.4.1 Resources, roles, responsibility and authority	1.2 Organisation and Responsibility 1.3 Management Representative 3.2 Training and Supervision 7.4 Duty of Care 9.2 Safety Representative 10.2 Environmental Representative 11.1 (Technical Ability) General 11.2 Experience 11.3 Human Resources 11.4 Professional Support 12.1 (Finance and Insurance Status) General 12.2 Company Structure 12.3 Accounting Facilities 12.4 Insurance 13.1 (Industrial Relations) General
	3.4.2 Competency, training and awareness	7.1 Ongoing Skill & Safe Work Training 7.2 Competency Training 7.3 Induction Training
	3.4.3 Consultation, communication and reporting	N/A*
	3.4.4 Documentation	N/A*
	3.4.5 Document and data control	6.1 Document and Data Control
	3.4.6 Operational control	2.2 Review of Client Requirements 2.3 Variations to Contracts 2.4 Design Management 3.1 Project Management Plan 3.3 Separate Procedures 4.2 Identification and Traceability 5.1 Purchasing Method 5.2 Receiving, Inspecting and Storing Purchased Goods and Customer Supplied Product 9.5 Health & Safety Risk Analysis 10.5 Environmental Risk Analysis 14 Site Visit
	3.4.7 Emergency preparedness and response	N/A*
3.5 Checking	3.5.1 Monitoring and measurement	1.4 Customer Satisfaction 4.1 Inspection and Testing 4.4 Control of Inspection Measuring and Test Equipment 9.6 Safety Audit 10.6 Environmental Audit
	3.5.2 Nonconformity, corrective and preventive action	4.3 Control of Unsatisfactory Work, Nonconforming Material and Management System Failures 8.1 Corrective and Preventive Action
	3.5.3 Records management	6.2 Records
	3.5.4 Internal audit	8.2 Self Review of Management System
3.6 Review		8.3 Business Management Review

* Some Audit Report clauses do not have a direct corresponding CCF Code Clause (denoted as "N/A"). This does not necessarily imply that such requirements do not exist, but that where and to the extent they do, they have been integrated into other requirements of the CCF Code.